

RI DEPARTMENT OF HUMAN SERVICES
EARLY INTERVENTION
STATE ANNUAL PERFORMANCE REPORT

FEBRUARY 2007

Submitted by
Brenda DuHamel, Part C Coordinator

Early Intervention
State Performance Plan/Annual Performance Report

The Department of Human Services (DHS) obtained broad stakeholder input in the development of the Annual Performance Report. DHS held meetings as indicated below to share data, both statewide and for each certified Early Intervention program, to discuss progress and slippage in meeting the measurable and rigorous targets in the State Performance Plan and to review and amend, as needed, further improvement plans.

Primary Participants:

- Brenda DuHamel, Part C Coordinator, DHS
- Robin Etchingham, DHS
- Christine Robin, DHS
- Robyn Hoffman, DHS
- Paul Choquette, DHS
- Maureen Whelan, Training and Transition Coordinator, Sherlock Center on Disabilities
- Ester Brito, Rhode Island Parent Information Network/parent of child with special health care needs
- Deborah Milton, Rhode Island Parent Information Network/parent of child with special health care needs
- Lisa Schaffran, Rhode Island Parent Information Network/parent of child with special health care needs
- Ellen Jacobs, Hasbro Early Intervention Program
- Sharon Terreault, Meeting Street Early Intervention Program
- Dawn Wardyga, ICC Chairperson
- All EI Program Directors through EI Association Meetings
- All ICC members and interested parties through ICC meetings, which are open to and well attended by the public.

Meeting Schedule:

October 16 th 2-4	Review and discussion on indicators #1 and 2
October 23 rd 11:30-1:30	Review and discussion on indicators #5, 6, and 7
October 30 th 2-4	Review and discussion on indicators #3, 4, 8, and 9
November 2 nd 9-11	EI Association Meeting-discussion of all indicators
November 16 th 9:30-12:30	ICC Meeting-discussion of all indicators
December 7 th 9-11	EI Association Meeting-discussion of all indicators
December 29 th 9:30-11:30	EI Monthly Meeting-review of draft APR
January 4 th 9-11	EI Association Meeting-review of draft APR
January 18 th 9:30-12:30	ICC Meeting-review of draft APR
January 26 th	Submission of final APR to OSEP and placement on the DHS website

The final APR will be disseminated to all of the above individuals and groups and will be shared with the public by placement on the Department of Human Services website.

Part C State Annual Performance Report (APR) for FFY 05-06

Overview of the Annual Performance Report Development:

Monitoring Priority: Early Intervention Services in Natural Environments

Indicator #1 : Percent of infants and toddlers with IFSP's who receive the Early Intervention services on their IFSP's in a timely manner.

Measurement: Percent = # of infants and toddlers with IFSP's who receive the Early Intervention services on their IFSP's in a timely manner divided by the total # of infants and toddlers with IFSP's times 100.
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FFY	Measurable and Rigorous Target
05-06	100%

Actual Target Data for FFY 05-06: 64.81%

Discussion of Improvement Activities Completed and Explanation of Progress or Slippage that occurred for FFY 05-06:

RI has revised its definition of timely service as required by OSEP-see SPP revision available on RI DHS website: www.dhs.ri.gov

RI has had some slippage with this indicator. This slippage was analyzed and it is believed to be mostly due to the fact that the state needed to change its definition of timely and provide technical assistance to providers. Also our data system does not yet have the ability to capture reason for untimely services. We are able to capture the rate of cancellations and family no-shows for appointments, but we acknowledge that this does not tell us the whole story.

Services provided timely	# of IFSPs	Percentage
YES	5493	64.81%
NO	2982	35.19%
	8475	100%

***Between July 1, 2005-June 30, 2006 there was a no-show/cancellation rate of 9.63%**

- Redefined timely service with support from OSEP and provided technical assistance to providers on this new definition in June 2006 and ongoing.

New certification standards written and effective as of January 1, 2006. Two new providers were certified, Easter Seals in January 2006 and Homestead Group in June 2006.

- New contract in place as of February 2006 with the Sherlock Center on Disabilities for the provision of training and technical assistance and recruitment and retention activities.
- Began development of a new data system in order to be able to capture reason for untimely provision of services, allowing for more in-depth analysis. Providers began utilizing the new system in August 2006. A specific field was added to capture untimely service data. Technical assistance is being provided in the provision of this field and a plan to revise paperwork in order to improve triggers to follow timely standards and to appropriately document in the data system. This is being done collaboratively with providers and the expected completion date is August 2007.
- Monthly data reports are analyzed and provided to all EI certified providers during monthly meetings on both statewide data and individual provider data. Technical assistance through analysis and discussion occurs at each of these meetings. Annual compliance letters are sent to each certified provider to address any non-compliance and corrective action plans must be submitted and correction expected within one year of identification of non-compliance. Individual technical assistance is offered to address issues of non-compliance in this area.

Revisions, with Justification, to Proposed Targets / Improvement Activities / Timelines / Resources for FFY 05-06:

- Began development of reimbursement manual as a technical resource guide to providers in order to ensure accuracy between services indicated on the IFSP and provided and the services identified on the services rendered form. Expected completion date revised to December 2007.

Part C State Annual Performance Report (APR) for FFY 05-06

Overview of the Annual Performance Report Development:

Monitoring Priority: Early Intervention Services in Natural Environments

Indicator #2: Percent of infants and toddlers with IFSP's who primarily receive Early Intervention services in the home or programs for typically developing children.

Measurement: Percent = # of infants and toddlers with IFSP's who primarily receive Early Intervention services in the home or programs for typically developing children divided by the total # of infants and toddlers with IFSP's times 100.

Data source: Data collected for reporting under section 618 (Annual Report of Children Served)

FFY	Measurable and Rigorous Target
05-06	95%

Actual Target Data for FFY 05-06: 91.41%

1469/1610 x100=91.41 %

TOTAL (ROWS 1-7)	1610
1. PROGRAM DESIGNED FOR CHILDREN WITH DEVELOPMENTAL DELAY OR DISABILITIES	105
2. PROGRAM DESIGNED FOR TYPICALLY DEVELOPING CHILDREN	176
3. HOME	1293
4. HOSPITAL (INPATIENT)	1
5. RESIDENTIAL FACILITY	1
6. SERVICE PROVIDER LOCATION	29
7. OTHER SETTING*	5

* Please list the Other Settings included:

Daycare, play groups, Library, Pool, School Department, Professional office buildings and other similar environments

Discussion of Improvement Activities Completed and Explanation of Progress or Slippage that occurred for FFY 05-06:

- Analysis of data indicates a 1.3% increase in the number of referrals received from DCYF. Often families involved with DCYF are seeking reunification and therefore we want to involve the biological parents in Early Intervention as much as possible. This means we often have to meet at DCYF offices (where supervised visits are allowed to occur). These are recorded as not natural environments.

- Introduction to EI training program curriculum was revised and was held in March/April 2006 for all new staff and will continue to be held twice annually.
- Statewide data and individual program data is reviewed and analyzed monthly. This began in January 2006 and will continue.
- Providers must document a justification for any service not primarily provided in a natural environment. This documentation has improved since the last review period and this improvement in documentation of justified reason for services not provided in a natural environment actually demonstrates improvement in this indicator from 95.41% (FFY 04-05) to 95.44% (FFY 05-06).

NATURAL ENVIRONMENTS	FY 04-05	FY 05-06
Services provided in natural environments	94.81	91.41
Documented justified reason for non-natural environment	.60	4.03
Demonstration of improvement in this area	95.41	95.44

- Public awareness activities were held by each of the EI provider agencies. Each certified agency is required to complete at least three such activities each year in an effort to increase the understanding of EI services and the delivery model for families, pediatricians and community agencies.

Revisions, with Justification, to Proposed Targets / Improvement Activities / Timelines / Resources for FFY 05-06:

Not applicable

Part C State Annual Performance Report (APR) for FFY 05-06

Overview of the Annual Performance Report Development:

Monitoring Priority: Early Intervention Services In Natural Environments

Indicator #3

Measurement: Percent = Percent of infants and toddlers with IFSPs who demonstrate improved:

1. Positive social-emotional skills (including social relationships);
2. Acquisition and use of knowledge and skills (including early language/ communication); and
3. Use of appropriate behaviors to meet their needs.

(20 USC 1416(a)(3)(A) and 1442)

FFY	Measurable and Rigorous Target
05-06	<p>A. Positive social-emotional skills (including social relationships):</p> <ol style="list-style-type: none"> a. Percent of infants and toddlers who reach or maintain functioning at a level comparable to same-aged peers = # of infants and toddlers who reach or maintain functioning at a level comparable to same-aged peers divided by # of infants and toddlers with IFSPs assessed times 100. b. Percent of infants and toddlers who improve functioning = # of infants and toddlers who improved functioning divided by # of infants and toddlers with IFSPs assessed times 100. c. Percent of infants and toddlers who did not improve functioning = # of infants and toddlers who did not improve functioning divided by # of infants and toddlers with IFSPs assessed times 100. <p>If children meet the criteria for a, report them in a. Do not include children reported in a in b or c. If a + b + c does not sum to 100%, explain the difference.</p> <p>B. Acquisition and use of knowledge and skills (including early language/communication):</p>

	<p>a. Percent of infants and toddlers who reach or maintain functioning at a level comparable to same-aged peers = # of infants and toddlers who reach or maintain functioning at a level comparable to same-aged peers divided by # of infants and toddlers with IFSPs assessed times 100.</p> <p>b. Percent of infants and toddlers who improved functioning = # of infants and toddlers who improved functioning divided by # of infants and toddlers with IFSPs assessed times 100.</p> <p>c. Percent of infants and toddlers who did not improve functioning = # of infants and toddlers who did not improve functioning divided by # of infants and toddlers with IFSPs assessed times 100.</p> <p>If children meet the criteria for a, report them in a. Do not include children reported in a in b or c. If a + b + c does not sum to 100%, explain the difference.</p> <p>C. Use of appropriate behaviors to meet their needs:</p> <p>a. Percent of infants and toddlers who reach or maintain functioning at a level comparable to same-aged peers = # of infants and toddlers who reach or maintain functioning at a level comparable to same-aged peers divided by # of infants and toddlers with IFSPs assessed times 100.</p> <p>b. Percent of infants and toddlers who improved functioning = # of infants and toddlers who improved functioning divided by # of infants and toddlers with IFSPs assessed times 100.</p> <p>c. Percent of infants and toddlers who did not improve functioning = # of infants and toddlers who did not improve functioning divided by # of infants and toddlers with IFSPs assessed times 100.</p> <p>If children meet the criteria for a, report them in a. Do not include children reported in a in b or c. If a + b + c does not sum to 100%, explain the difference.</p>
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Actual Target Data for FFY 05-06:

See State Performance Plan at www.dhs.ri.gov for entry data

Discussion of Improvement Activities Completed and Explanation of Progress or Slippage that occurred for FFY 05-06:

Not applicable

Part C State Annual Performance Report (APR) for FFY 05-06

Overview of the Annual Performance Report Development:

Monitoring Priority: Early Intervention Services In Natural Environments

Indicator #4

Measurement: Percent = . Percent of families participating in Part C who report that Early Intervention services have helped the family:

- A. Know their rights;
 - B. Effectively communicate their children's needs; and
 - C. Help their children develop and learn.
- (20 USC 1416(a)(3)(A) and 1442)

Data Source: ECO Family Survey 2004-2005

FFY	Measurable and Rigorous Target
05-06	<p>Percent = # of respondent families participating in Part C who report that Early Intervention services have helped the family know their rights divided by the # of respondent families participating in Part C times 100.</p> <p>B. Percent = # of respondent families participating in Part C who report that Early Intervention services have helped the family effectively communicate their children's needs divided by the # of respondent families participating in Part C times 100.</p> <p>C. Percent = # of respondent families participating in Part C who report that Early Intervention services have helped the family help their children develop and learn divided by the # of respondent families participating in Part C times 100.</p>

Actual Target Data for FFY 05-06:

See State Performance Plan at www.dhs.ri.gov for overview, baseline data, discussion of baseline data, measurable rigorous targets and improvement activities.

Discussion of Improvement Activities Completed and Explanation of Progress or Slippage that occurred for FFY 05-06:

Not applicable

Part C State Annual Performance Report (APR) for FFY 05-06**Overview of the Annual Performance Report Development:**

Monitoring Priority: Effective General Supervision Part C / Child Find

Indicator #5: Percent of infants and toddlers birth to 1 with IFSP's compared to:**A. Other States with similar eligibility definitions; and****B. National data****Measurement:**

- A. Percent = # of infants and toddlers birth to 1 with IFSPs divided by the population of infants and toddlers birth to 1 times 100 compared to the same percent calculated for other States with similar (narrow, moderate or broad) eligibility definitions.
- B. Percent = # of infants and toddlers birth to 1 with IFSPs divided by the population of infants and toddlers birth to 1 times 100 compared to National data.

Data source: Data collected for reporting under section 618 (Annual Report of Children Served) Table 8-4 – Infants and Toddlers ages birth through 2 (excluding children at risk) receiving early intervention services under IDEA, Part C, by age and state, and Table 8-3c Infants and Toddlers ages birth through 2 (excluding children at risk) receiving early intervention services under IDEA, Part C, by eligibility criteria, age and state, posted on <http://www.rrfcnetwork.org/content/view/248/358/> updated 12/19/2006.

FFY	Measurable and Rigorous Target
05-06	1.75% for both A and B

Actual Target Data for FFY 05-06: 1.86% for both A and B

A) In 2005, Rhode Island ranks 1st (246/13,257=1.86%) out of the Moderate Eligibility Criteria states for serving eligible infants with disabilities under the age of one.

B) In 2005, Rhode Island ranks 5th (246/13,257 = 1.86%)out of the fifty states and District of Columbia (excluding children at risk) for serving eligible infants under age 1 with disabilities.

Discussion of Improvement Activities Completed and Explanation of Progress or Slippage that occurred for FFY 05-06:

- A system for referrals from DCYF in response to CAPTA has been implemented. Collaboration between DCYF, DHS and EI providers, and the Visiting Nurse Association (VNA) has allowed this to happen. Data is being collected in order to determine the effect of CAPTA on child find.
- All certified EI providers are required to provide feedback to the primary referral source for each child referred to EI. The new EI data system is being developed in order to better capture compliance with this certification standard.
- All certified EI providers are required to participate in at least 3 public awareness activities each calendar year. Each provider submitted their plans for 2006 with their applications for certification. Reports on these activities and plans for 2007 are due to DHS on December 31, 2006.
- Outreach/education to the physician/pediatrician community is a chosen public awareness activity by some of the EI providers. DHS has also begun to look at possible curriculum material for the provision of training to pediatricians and family physicians.

Revisions, with Justification, to Proposed Targets / Improvement Activities / Timelines / Resources for FFY 05-06:

- Paperwork is being revised in order to provide clearer triggers to enter information into the data system regarding response to referral. Expected completion date is August 2007.
- A new poster has been developed to increase public knowledge regarding desired outcomes for children and families in EI. This poster will be placed in all EI sites by February 2007 and outreach will be done to pediatricians and family physicians in hopes of placing it in their waiting rooms as well. Expected completion date for this activity will be June 2007.
- Additional newborn screenings have been adopted by RI Department of Health for all newborns born in Rhode Island. As of July 1, 2006 newborn screening tests in Rhode Island expanded from 12 to 29 tests. Potentially eligible infants will be referred to Early Intervention.

Part C State Annual Performance Report (APR) for FFY 05-06**Overview of the Annual Performance Report Development:**

Monitoring Priority: Effective General Supervision Part C / Child Find

Indicator #6: Percent of infants and toddlers birth to 3 with IFSP's compared to:**C. Other States with similar eligibility definitions; and****D. National data****Measurement:**

- A. Percent = # of infants and toddlers birth to 3 with IFSPs divided by the population of infants and toddlers birth to 3 times 100 compared to the same percent calculated for other States with similar (narrow, moderate or broad) eligibility definitions.
- B. Percent = # of infants and toddlers birth to 3 with IFSPs divided by the population of infants and toddlers birth to 3 times 100 compared to National data.

Data source: Data collected for reporting under section 618 (Annual Report of Children Served) Table 8-1 – Infants and Toddlers ages birth through 2 receiving early intervention services under IDEA, Part C, by age and state, and Table 8-3c Infants and Toddlers ages birth through 2 (excluding children at risk) receiving early intervention services under IDEA, Part C, by eligibility criteria, age and state, posted on <http://www.rrfcnetwork.org/content/view/248/358/> updated 12/19/2006.

FFY	Measurable and Rigorous Target
05-06	3.60 for both A and B

Actual Target Data for FFY 05-06: 4.09% for both A and B

A) In 2005, Rhode Island ranks 2nd (1,610/39,343=4.09%)out of the Moderate Eligibility Criteria states for serving eligible infants with disabilities. This is the most current national data available from the Office of Special Education Program. (OSEP).

B) In 2005, Rhode Island ranks 6th (1,610/39,343=4.09%)out of the fifty states and District of Columbia for serving eligible infants with disabilities.

Discussion of Improvement Activities Completed and Explanation of Progress or Slippage that occurred for FFY 05-06:

- A system for referrals from DCYF in response to CAPTA has been implemented. Collaboration between DCYF, DHS and EI providers, and the Visiting Nurse Association (VNA) has allowed this to happen. Data is being collected in order to determine the effect of CAPTA on child find.
- All certified EI providers are required to provide feedback to the primary referral source for each child referred to EI. The new EI data system is being developed in order to better capture compliance with this certification standard.
- All certified EI providers are required to participate in at least 3 public awareness activities each calendar year. Each provider submitted their plans for 2006 with their applications for certification. Reports on these activities and plans for 2007 are due to DHS on December 31, 2006.
- Outreach/education to the physician/pediatrician community is a chosen public awareness activity by some of the EI providers. DHS has also begun to look at possible curriculum material for the provision of training to pediatricians and family physicians.

Revisions, with Justification, to Proposed Targets / Improvement Activities / Timelines / Resources for FFY 05-06:

- Paperwork is being revised in order to provide clearer triggers to enter information into the data system regarding response to referral. Expected completion date is August 2007.
- A new poster has been developed to increase public knowledge regarding desired outcomes for children and families in EI. This poster will be placed in all EI sites by February 2007 and outreach will be done to pediatricians and family physicians in hopes of placing it in their waiting rooms as well. Expected completion date for this activity will be June 2007.
- Additional newborn screenings have been adopted by RI Department of Health for all newborns born in Rhode Island. As of July 1, 2006 newborn screening tests in Rhode Island expanded from 12 to 29 tests. Potentially eligible infants will be referred to Early Intervention.

Part C State Annual Performance Report (APR) for FFY 05-06

Overview of the Annual Performance Report Development:

Monitoring Priority: General Supervision Part C / Child Find

Indicator #7: Percent of infants and toddlers with IFSP's for whom an evaluation and assessment and an initial IFSP meeting were conducted within Part C's 45-day timeline.

Measurement: Percent = # of infants and toddlers with IFSP's for whom an evaluation and assessment and an initial IFSP meeting was conducted within Part C's 45-day timeline divided by # of eligible infants and toddlers evaluated and assessed times 100.

Data source: Data to be taken from monitoring or State data system and must address timeline from point of referral to initial IFSP meeting based on actual, not an average, number of days.

FFY	Measurable and Rigorous Target
05-06	100%

Actual Target Data for FFY 05-06: 71.7%

1064 (693 met 45 day timeline + 371 with family reason for delay)/1484= 71.70%

Data:

<u>Reason</u>	<u>45</u> <u>days</u>	<u>50</u> <u>days</u>	<u>60</u> <u>days</u>	<u>70</u> <u>days</u>	<u>80</u> <u>days</u>	<u>90</u> <u>days</u>	<u>100</u> <u>days</u>	<u>110</u> <u>days</u>	<u>120</u> <u>days</u>	<u>130</u> <u>days</u>	<u>140</u> <u>days</u>	<u>150</u> <u>days</u>	<u>160</u> <u>days</u>	<u>OVER</u> <u>200</u>	<u>Total</u>	<u>%</u>
Compliance	693	0	0	0	0	0	0	0	0	0	0	0	0	0	693	46.70%
1) Child Illness/ hospitalization	42														42	2.83%
2) Family Requested Delay	99														99	6.67%
3) Unable to contact/family cancellation	230														230	15.50%
4) Provider Issue		62	101	64	20	33	16	16	8	5	4	1	1	0	331	22.30%
5) Reason not entered		17	34	14	7	3	4	3	1	3	2	0	1	0	89	6.00%
Total	1064	79	135	78	27	36	20	19	9	8	6	1	2	0	1484	100.00%
Total within time frame		1143	1278	1356	1383	1419	1439	1458	1467	1475	1481	1482	1484	1484		
Percentage within time frame	71%	77%	86%	91%	93%	95%	96%	98%	98%	99%	99%	99%	100%	100%		

Discussion of Improvement Activities Completed and Explanation of Progress or Slippage that occurred for FFY 05-06:

- RI has made significant progress in this area.
- Technical assistance and compliance letters were given to all providers regarding the need to enter all data including specifically the reason for not adhering to the 45-day timeline. 44.35% of cases had a reason not entered during the FFY 04-05 period. This year that went down to 6%.
- Monthly data reports are analyzed and provided to all EI certified providers during monthly meetings on both statewide data and individual provider data. Technical assistance through analysis and discussion occurs at each of these meetings. Annual compliance letters are sent to each certified provider to address any non-compliance and corrective action plans must be submitted and correction expected within one year of identification of non-compliance. Individual technical assistance is offered to address issues of non-compliance in this area.
- Certification Standards for all providers were in place as of January 2006. Two new providers have been certified. Easter Seals in January 2006 and Homestead Group in June 2006. Each month the Part C Coordinator, Sherlock Center on Disabilities staff, Directors from each provider site, an ICC representative, and the parent consultant supervisor meet and review data in regards to quality indicators. This open data review began in January 2006 and DHS believes that this has been a factor in the progress RI has made.
- The Sherlock Center on Disabilities has been contracted with to provide technical assistance to providers regarding meeting the 45-day timeline. During FFY 05-06, data was evaluated as well as how each provider operationalizes the 45-day timeline. Technical assistance was provided regarding clarifications on the definition of the timeline and family reasons for delay. Providers also shared current barriers to meeting the timeline.

Revisions, with Justification, to Proposed Targets / Improvement Activities / Timelines / Resources for FFY 05-06:

- DHS requires full compliance of 100% with this indicator when the family reasons for delay are factored into the equation. Our monitoring data shows that approximately 20% of the delays are due to family reasons.

Part C State Annual Performance Report (APR) for FFY 05-06

Overview of the Annual Performance Report Development:

Monitoring Priority: Effective General Supervision Part C / Effective Transition

Indicator #8: Percent of all children exiting Part C who received timely transition planning to support the child's transition to preschool and other appropriate community services by their third birthday including:

- A. IFSP's with transition steps and services
- B. Notification to the LEA, if potentially eligible for Part B and
- C. Transition conference, if child potentially eligible for Part B.

Measurement: Percent =

- A. Percent = # of children exiting Part C who have an IFSP with transition steps and services divided by # of children exiting Part C times 100.
- B. Percent = # of children exiting Part C and potentially eligible for Part B where notification to the LEA occurred divided by the # of children exiting Part C who were potentially eligible for Part B times 100.
- C. Percent = # of children exiting Part C and potentially eligible for Part B where the transition conference occurred divided by the # of children exiting Part C who were potentially eligible for Part B times 100.

Data source: Data to be taken from monitoring or State data system.

FFY	Measurable and Rigorous Target
05-06	100%

Actual Target Data for FFY 05-06:

- A. **79%** of children exiting Part C had an IFSP with transition steps and services.
- B. **96%** of children exiting Part C and potentially eligible for Part B had a notification to the LEA.
- C. **91%** of children exiting Part C and potentially eligible for Part B had a transition conference

Discussion of Improvement Activities Completed and Explanation of Progress or Slippage that occurred for FFY 05-06:

RI has made progress in the area of transition.

Families that did not provide consent were taken out of the sample.

1) DHS has a contract with the Sherlock Center on Disabilities. They provide individualized and programmatic technical assistance to families, early childhood providers, school district staff, EI service coordinators, and parent consultants in regards to transitioning from Early Intervention to the Part B and to other community services.

- i. The Transition from EI: A Family Guide is given to all families in EI. This guide was updated in October 2006. It is available in English and Spanish and is reviewed with all families by the service coordinator.
- ii. The Introduction to EI course is offered twice annually. Training on transition is included in this training and was updated for the course held in March/April 2006.
- iii. Each provider has a transition mentor. These mentors attend monthly meetings for training, guidance, and support.
- iv. The Sherlock Center staff attends meetings as needed between EI and school districts for problem solving and team building.
- v. The Sherlock Center staff provide training and ongoing support to parent consultants regarding transition.
- vi. The Sherlock Center staff and parent consultant staff offer workshops on transition and the Part B system for families and interested professionals, such as staff from CEDARR Family Centers and other community-based providers in both English and Spanish. 18 of these workshops were held in collaboration with the Rhode Island Parent Information Network between Jan-May 06. Titles included: Transition for Early Intervention to School, The Successful IEP Meeting, The ABC's of IEP's, and Basic Rights in Special Education.
- vii. An evaluation of the transition process was completed by March 2006.
- viii. The Sherlock Center is developing a newsletter for DHS providers serving Children with Special Health Care Needs that will in part address statewide and community resources for families and available trainings for staff and families.
- ix. A new EI data system is being developed to better capture transition data, including timelines. This will provide the state and providers to more systematically monitor progress or slippage in this area.

2) DHS provides training around transition that focuses not only on transition to the Part B system, but statewide resources and community options for families. This training is provided during the Introduction to EI course held twice annually and through the transition mentors that meet monthly.

3) The transition standard is monitored monthly through data and annually a sample of transition plans are reviewed for quality assurance and to provide technical assistance regarding improvement strategies. During this year it was decided that revision of the transition paperwork would better support this process. Providers that have not reached 100% for this indicator are required to submit corrective action plans and technical assistance is provided as needed.

Revisions, with Justification, to Proposed Targets / Improvement Activities / Timelines / Resources for FFY 05-06:

Implementation of new transition forms will begin by August 2007.

Part C State Annual Performance Report (APR) for FFY 05-06**Overview of the Annual Performance Report Development:****Monitoring Priority: Effective General Supervision Part C / General Supervision**

Indicator #9: General supervision system (including monitoring, complaints, hearings, etc.) identifies and corrects noncompliance as soon as possible but in no case later than one year from identification.

Measurement: Percent =

A. Percent of noncompliance related to monitoring priority areas and indicators corrected within one year of identification:

- a. # of findings of noncompliance made related to priority areas.
- b. # of corrections completed as soon as possible but in no case later than one year from identification.

Percent = b divided by a times 100.

For any noncompliance not corrected within one year of identification, describe what actions, including technical assistance and/or enforcement that the State has taken.

B. Percent of noncompliance related to areas not included in the above monitoring priority areas and indicators corrected within one year of identification:

- a. # of findings of noncompliance made related to such areas.
- b. # of corrections completed as soon as possible but in no case later than one year from identification.

Percent = b divided by a times 100.

For any noncompliance not corrected within one year of identification, describe what actions, including technical assistance and/or enforcement that the State has taken.

C. Percent of noncompliance identified through other mechanisms (complaints, due process hearings, mediations, etc.) corrected within one year of identification:

- a. # of EIS programs in which noncompliance was identified through other mechanisms.
- b. # of findings of noncompliance made.
- c. # of corrections completed as soon as possible but in no case later than one year from identification.

Percent = c divided by b times 100.

For any noncompliance not corrected within one year of identification, describe what actions, including technical assistance and/or enforcement that the State has taken.

Data source: Data to be taken from State monitoring, complaints, hearings and other general supervision system components. Indicate the number of EIS programs monitored related to the monitoring priority areas and indicators and the number of EIS programs monitored related to areas not included in the monitoring priority areas and indicators.

FFY	Measurable and Rigorous Target
05-06	100%

Actual Target Data for FFY 05-06:

	Indicator #1	Indicator #7	Indicator #8	Indicator #9	Indicator #10	Indicator #11	Quality Assurance Indicator - Service Coordinator Assigned	Non-Compliance Indicated (Formal Complaint)
AGENCY								
Children's Friend and Service	N	N	N	N	C	C	C	0
Easter Seals, RI	N	N	C	N	C	C	C	0
Family Resources Community Action	N	N	C	N	C	C	C	0
Family Services	N	N	C	N	C	C	C	0
Hasbro Children's Hospital	N	N	C	N	C	C	C	0
James L. Maher Center	N	N	C	N	C	C	C	0
J. Arthur Trudeau Memorial Center	N	N	N	N	C	C	C	0
Meeting Street	N	C	N	N	C	C	N	0
C = Compliant N = Non-Compliant 0 = No Formal Complaints								

* During this review period, RI has added 1 new provider to the system.

- 1) RI had 27 findings of non-compliance related to priority and quality assurance indicators.
- 2) RI had 1 correction completed as soon as possible but in no case later than one year from identification.
- 3) RI had 1 finding where the timeline was not up yet.
- 4) RI had no formal written complaints.

Discussion of Improvement Activities Completed and Explanation of Progress or Slippage that occurred for FFY 05-06:

- Certification standards became effective January 1, 2006.
- Statewide data and individual program data is reviewed and analyzed monthly. This began in January 2006 and will continue.
- Providers will receive a notification of non-compliance annually in December requiring corrective action plans to be submitted to DHS annually in February. DHS received corrective action plans from all EI certified providers within the time frame specified

for FFY 2004-2205 detailing the plans for corrective action for their agencies. Copies of all notifications and corrective plans are on file at the DHS.

- Technical assistance is provided monthly during the EI Director's Association meetings and EI Supervisors meetings and upon request or need.

Revisions, with Justification, to Proposed Targets / Improvement Activities / Timelines / Resources for FFY 05-06:

Not Applicable

Part C State Annual Performance Report (APR) for FFY 05-06

Overview of the Annual Performance Report Development:

Monitoring Priority: Effective General Supervision Part C / General Supervision

Indicator #10: Percent of signed written complaints with reports issued that were resolved within 60 day timeline or a timeline extended for exceptional circumstances with respect to a particular complaint.

Measurement: Percent = $(1.1(B)+1.1(c))$ divided by $(1.1) \times 100$.
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FFY	Measurable and Rigorous Target
05-06	100%

Actual Target Data for FFY 05-06:

No signed written formal complaints were filed.

Discussion of Improvement Activities Completed and Explanation of Progress or Slippage that occurred for FFY 05-06:

Not Applicable

Revisions, with Justification, to Proposed Targets / Improvement Activities / Timelines / Resources for FFY 05-06:

Not Applicable

Part C State Annual Performance Report (APR) for FFY 05-06

Overview of the Annual Performance Report Development:

Monitoring Priority: Effective General Supervision Part C / General Supervision

Indicator #11: Percent of fully adjudicated due process hearing requests that were fully adjudicated within their applicable timeline.

Measurement: Percent = $(3.2(a)+3.2(b))$ divided by $(3.2) \times 100$.
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FFY	Measurable and Rigorous Target
05-06	100%

Actual Target Data for FFY 05-06:

No signed written formal complaints were filed.

Discussion of Improvement Activities Completed and Explanation of Progress or Slippage that occurred for FFY 05-06:

Not Applicable

Revisions, with Justification, to Proposed Targets / Improvement Activities / Timelines / Resources for FFY 05-06:

Not Applicable

Part C State Annual Performance Report (APR) for FFY 05-06

Overview of the Annual Performance Report Development:

Monitoring Priority: Effective General Supervision Part C / General Supervision

Indicator #12: Percent of hearing requests that went to resolution sessions that were resolved through resolution session settlement agreements (applicable if Part B due process procedures are adopted).

Measurement: Percent = 3.1(a) divided by (3.1) x 100.

FFY	Measurable and Rigorous Target
05-06	100%

Actual Target Data for FFY 05-06:

Part B due process procedures are not utilized by Rhode Island DHS.

Discussion of Improvement Activities Completed and Explanation of Progress or Slippage that occurred for FFY 05-06:

Not Applicable

Revisions, with Justification, to Proposed Targets / Improvement Activities / Timelines / Resources for FFY 05-06:

Not Applicable

Part C State Annual Performance Report (APR) for FFY 05-06**Overview of the Annual Performance Report Development:**

Monitoring Priority: Effective General Supervision Part C / General Supervision
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Indicator #13: Percent of mediations held that resulted in mediation agreements.

Measurement: Percent = (2.1(a) (i) +2.1 (b) (i)) divided by (2.1) x 100.

FFY	Measurable and Rigorous Target
05-06	100%

Actual Target Data for FFY 05-06:

No signed written formal complaints were filed.

Discussion of Improvement Activities Completed and Explanation of Progress or Slippage that occurred for FFY 05-06:

Not Applicable

Revisions, with Justification, to Proposed Targets / Improvement Activities / Timelines / Resources for FFY 05-06:

Not Applicable

Part C State Annual Performance Report (APR) for FFY 05-06**Overview of the Annual Performance Report Development:**

Monitoring Priority: Effective General Supervision Part C / General Supervision
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Indicator #14: State reported data (618 and State Performance Plan and Annual Performance Report) are timely and accurate.

Measurement: State reported data, including 618 data, State Performance Plan, and Annual Performance Report, are:
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- | |
|--|
| <ul style="list-style-type: none"> a. submitted on or before due dates (February 1 for child count including race and ethnicity, settings and November 1 for exiting, personnel, dispute resolution): and b. accurate (describe mechanisms for ensuring accuracy) |
|--|

FFY	Measurable and Rigorous Target
05-06	100%

Actual Target Data for FFY 05-06:

100%

- a) Data has been submitted on or before February 1st for child count, including race and ethnicity, settings and November 1st for exiting, personnel and dispute resolution.
- b) DHS assures that data submitted is accurate and complete.

Discussion of Improvement Activities Completed and Explanation of Progress or Slippage that occurred for FFY 05-06:

Certification Standards were implemented on January 1, 2006. All Early Intervention certified providers are required to have policies and procedures and demonstrable activities for quality review and improvement.

DHS employs an EI data manager that is responsible for monthly data monitoring, analysis of data, and identifying trends and data collection issues. She has worked closely in the development of the new EI data management system and provided technical assistance to EI providers as needed. The data manager is responsible for submitting all data to OSEP and for assuring that the data submitted is accurate and complete.

**Revisions, with Justification, to Proposed Targets / Improvement Activities / Timelines /
Resources for *FFY 05-06***

Not Applicable